



CAW3724

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 05-00031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application	09/898,313	
	Filing Date	July 3, 2001	
	First Named	Czarnek et al.	
	Group Art Unit	3724	
	Examiner Name	TBD	
Total Number of Pages in This Submission		Attorney Docket Number	AMT-111US

RECEIVED
OCT 16 2001
TECHNOLOGY CENTER R3709

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Transmittal of Information Disclosure Statement Information Disclosure Statement Copies of Cited References Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

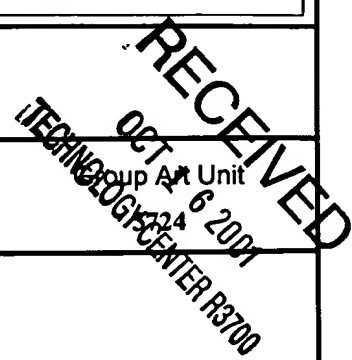
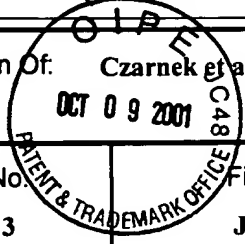
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John E. Munger, Reg. No. 37,685 Jansson, Shupe & Munger, Ltd. 245 Main Street, Racine, WI 53403
Signature	
Date	October 4, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: October 4, 2001			
Typed or printed name	Chris Wipper		
Signature		Date	October 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. AMT-111US	
In Re Application Of: Czarnek et al.				
Serial No. 09/898,313		Filing Date July 3, 2001	Examiner TBD	Group Art Unit 3246
Title: Automatic Tablet-Cutting Device and Cutting Method				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application; and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
AMT-111US

In Re Application: Czarnek et al.

OCT 09 2001

Serial No.

09/898,313

Filing Date

July 3, 2001

Examiner

TBD

Group and Unit

372

Automatic Tablet-Cutting Device and Cutting Method

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 10-0270 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Chris Wipper

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: October 4, 2001

Signature

John E. Munger, Reg. No. 37,685

Jansson, Shupe & Munger, Ltd.

245 Main Street

Racine, WI 53403

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Robert Czarnek et al.

Attorney Docket No. AMT-111US

Serial No. 09/898,313

Group Art Unit: 3724

Filed: July 3, 2001

Examiner: Not Yet Assigned

Title: AUTOMATIC TABLET-CUTTING DEVICE AND CUTTING METHOD

RECEIVED
OCT 16 2001
TECHNOLOGY CENTER R3700

* * * * *

Jansson, Shupe & Munger, Ltd.
245 Main Street, Suite M
Racine, WI 53403-1034
October 4, 2001

Box DD

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Attached is a completed information disclosure citation form. The statement is believed to be timely filed pursuant to 37 C.F.R. § 1.97(b)(3) because, as far as the Applicants are aware, the first office action has not yet been mailed.

Applicant wishes to provide comments with respect to the electronic tablet cutter device shown in the five photographs referenced at page three. The electronic tablet cutter device shown in the photographs is materially from that of the present invention.

Photograph 1 shows the tablet cutting device with its housing in place. Tablets are stored in the removable container shown mounted on the device.

Photograph 2 is a front view of the device with the housing removed.

Photograph 3 is a top view of the device with the housing removed. The tablet cutting cycle begins when a tablet is metered out from the container and through the passageway shown in photograph 3.

Photograph 4 is a rear view of the device with the housing removed. The tablet falls through the transparent tube partially defining the passageway and into the circular opening which extends completely through the white block and is best seen in photograph 5. The walls defining the circular opening do not provide adequate tablet alignment nor do they provide tablet alignment over a range of different table shapes and sizes.

Photograph 5 is a further rear view of the device with the housing removed. The white block, including the tablet within the circular opening, is moved to the position shown in photograph 5. The blade is then lowered into contact with the tablet in the circular opening thereby breaking the tablet with a chopping action. The surface below the circular opening and blade is then withdrawn permitting the cut table portions to fall into the clear plastic collection box located below the blade.

The surface and the white block are then returned to the position shown in photograph 4 to begin the next tablet cutting cycle.

Consideration of each such document by the Examiner is respectfully requested.

Pursuant to section 609 of the Manual of Patent Examining Procedure, the Examiner is requested to return an initialed copy of such PTO-1449 form with the next communication, to indicate that such documents have been considered.

This submission is not a representation that any such document is prior art to this application; the right to establish otherwise, pursuant to 37 CFR 1.131 or otherwise, is reserved.

The listed documents are not represented to be material to the examination of this application; such documents are cited, however, to make it clear beyond any doubt that there has been compliance with the Duty of Disclosure. We do not concede each item cited is relevant but the items are cited to show the general background of the art.

Respectfully submitted,

By



John E. Munger

Registration No. 37,685

Attorneys for Applicants:

Jansson, Shupe & Munger, Ltd.
245 Main Street, Suite M
Racine, WI 53403-1034
Telephone: 262/632-6900